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Assistant Commissioner for Patents Washington, D.C. 20231  
on January 22, 2001

PATENT  
Attorney Docket No.: 18608-000100

By: Kimberly Badiei

Kimberly Badiei

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID G. MATSUURA et al. (not  
CORBETT W. STONE et al)

Application No.: 09/548,901

Filed: April 13, 2000

For: **ARTICULATION SYSTEMS FOR  
POSITIONING MINIMALLY  
INVASIVE SURGICAL TOOLS**

Examiner: Unassigned

Art Unit: 3739

REQUEST FOR CORRECTED FILING  
RECEIPT

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MAY 15 2001

TECHNOLOGY CENTER R3700

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a redline corrected copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in the "Applicant(s)" section of the Filing Receipt. The correct "Applicant(s)" order should read as follows (with the correction marked in bold text):

**David G. Matsuura, Escondido, CA**  
**Walter D. Gillespie, San Diego, CA**  
**Corbett E. Stone, San Diego, CA**  
James F. Marino, La Jolla, CA

The correction is due to applicant's error and the fee therefor, pursuant to 37 CFR §1.19(h), of \$25.00 is to be charged to Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the Deposit Account No. 20-1430. This Petition is submitted in duplicate.

Respectfully submitted,

David R. Heckadon

David R. Heckadon

(Granted Limited Recognition under 37 CFR §10.9(b)  
- see enclosed Limited Recognition Document)

TOWNSEND and TOWNSEND and CREW LLP  
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Tel: (650) 326-2400 Fax: (650) 326-2422  
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PA 3122757 v1





## UNITED STATES PATENT AND TRADEMARK OFFICE

018608-000100US  
 DR Heckadon  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/548,901	04/13/2000	3739	555	18608-000100US	15	35	3

Townsend and Townsend and Crew LLP  
 Two Embarcadero Center 8th Floor  
 San Francisco, CA 94111-3834



## FILING RECEIPT



\*OC000000005539798\*

Date Mailed: 11/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

~~Corbett E. Stone, San Diego, CA ;~~ David G. Matsura, Escondido, CA  
~~David G. Matsura, Escondido, CA ;~~ Walter D. Gillespie, San Diego, CA  
~~Walter D. Gillespie, San Diego, CA ;~~ Corbett E. Stone, San Diego, CA.  
 James F. Marino, La Jolla, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/129,703 04/16/1999

## Foreign Applications

If Required, Foreign Filing License Granted 06/27/2000

\*\* SMALL ENTITY \*\*

## Title

Articulation systems for positioning minimally invasive surgical tools

## Preliminary Class

606

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE**



**LIMITED RECOGNITION UNDER 37 CFR §10.9(b)**

David R. Heckadon is hereby given limited recognition under 37 CFR §10.9(b), as an employee of the Townsend and Townsend and Crew, law firm, to prepare and prosecute patent applications and to represent patent applicants wherein the patent applicants are clients of the Townsend and Townsend and Crew law firm, and wherein a registered practitioner who is a member of the Townsend and Townsend and Crew law firm is the attorney or agent of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) David R. Heckadon ceases to lawfully reside in the United States; (ii) David R. Heckadon's employment with the Townsend and Townsend and Crew law firm ceases or is terminated; or (iii) David R. Heckadon ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: June 1, 2001**

A handwritten signature in dark ink, appearing to read "Harry I. Moatz", written over a horizontal line.

**Harry I. Moatz**  
Director of Enrollment and Discipline

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**MAY 15 2001**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 7794

<b>SERIAL NUMBER</b> 09/548,901	<b>FILING DATE</b> 04/13/2000 <b>RULE</b>	<b>CLASS</b> 606	<b>GROUP ART UNIT</b> 3739	<b>ATTORNEY DOCKET NO.</b> 18608-000100US
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## APPLICANTS

David G. Matsuura, Escondido, CA;  
Walter D. Gillespie, San Diego, CA;  
Corbett E. Stone, San Diego, CA;  
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## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/129,703 04/16/1999

MAY 15 2001

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## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 06/27/2000

\*\* SMALL ENTITY \*\*

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 15	TOTAL CLAIMS 35	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged <i>Gary Jackson</i> Examiner's Signature	Initials <i>DJ</i>			

## ADDRESS

Townsend and Townsend and Crew LLP  
Two Embarcadero Center 8th Floor  
San Francisco, CA 94111-3834

cust. no. 30328

## TITLE

Articulation systems for positioning minimally invasive surgical tools

<b>FILING FEE RECEIVED</b> 555	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
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